

7	Source of Funds	
	PLEASE BE SPECIFIC AS TO HOW THE FUNDS BEING INVESTED IN THE COMPANY AROSE	

8	Financial Forecast	Year 1	Year 2	Year 3
	Capital Investment			
	Expenditure			
	Expected Income			
	Expected Net Cash Flow			
	Expt. Annual Turnover			

- Is the Applicant/Beneficial Owner regulated in another jurisdiction or has the Entity applied for a licence from any regulatory authority in another jurisdiction?
If Yes, provide details: _____
- Has the Applicant/Beneficial Owner made a similar application in another jurisdiction?
If Yes, provide details: _____

9	Accounting*		Check		Check
	Is Alliance Trust required to prepare financial statements?	Yes		No	
	Date of Financial Year End				

* **NOTE:** **Category 1 Global Business Companies:** Accounts have to be audited in Mauritius.
Category 2 Global Business Companies: Accounting is optional but recommended;
 Financial Summary have to be submitted annually

10	Bank Account	
	Bank <i>(Please choose from attached list)</i>	
	Type of Account	<input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> Fixed Deposit Currency:
	♦ Estimated Number of Inward Payments	
	♦ Typical Size of Inward Payment	
	♦ Estimated Number of Outward Payments	
	♦ Typical Size of Outward Payment	
	Authorised Signatories and Special Instructions	

11. Company Secretary

Category 1 Global Business Company: Resident Company Secretary is mandatory.

Category 2 Global Business Company: Company Secretary is optional. Nevertheless it is mandatory in case the shareholder and director is the same natural person. See Fee Schedule.

Do you wish to benefit from this service? Yes No

12 (a) Instruction Proceedings (Alliance Trust will receive instructions only from the following persons)	
Name and address	
Specimen Signature	

12 (b) Additional Instructions

Special billing instructions

Correspondence dispatch instructions

If you wish your documents be sent to another address, please specify:

I/We certify and confirm, to the best of my/our knowledge and belief, that the above information (including annexure) is complete and that the above beneficial owners, shareholders, directors or officers have taken the appropriate legal, tax, exchange control and relevant professional advice in the particular circumstances. I/We further certify and confirm, to the best of my/our knowledge and belief, that none of the above beneficial owners, shareholders, directors or officers of the Company has ever been convicted in a Court of Law for a criminal offence, penalized, sanctioned, is currently or has ever been under investigation for professional negligence or malpractice by any regulatory authority in any country. I/We also undertake that no transactions or documents will be entered into until notified in writing to Alliance Trust Co. (Mauritius) Limited that all relevant consents have been obtained and that the proposed company may commence trading. I/We further undertake to enter into the standard company management agreement in respect of Alliance Trust Co. (Mauritius) Limited's management of the Company. I/We, acknowledge having taken full cognizance and understood the fees and accept them. I/We declare and warrant that the moneys and/or assets so introduced:

1. do not emanate from any activity, which is illegal or unlawful, or from any activities specified in the Financial Intelligence and Anti-Money Laundering Act 2001, the Prevention of Corruption Act 2002 and the Prevention of Terrorism Act 2002.
2. are free from any legal encumbrance or restraint imposed by any Court or third party and I/We affirm that there is no pending or threatened claims against me/us with regard to the moneys and assets introduced in the proposed company.

I/We confirm that if Alliance Trust Co. (Mauritius) Limited is required by any lawful governmental authority to determine the source of funds, I will provide Alliance Trust Co. (Mauritius) Limited with the necessary information and explanations to establish that the source of funds is from a lawful activity.

TO BE SIGNED BY THE BENEFICIAL OWNER(S)

Signature:

Signature:

Name:

Name:

Date:

Date:

CUSTOMER DUE-DILIGENCE

TO BE COMPLETED INDIVIDUALLY BY ALL PRINCIPALS MENTIONED IN THE PROPOSAL FORM

	Check		Check
Beneficial owner	[]	Trustee	[]
Shareholder	[]	Settlor	[]
Director	[]	Beneficiary of a Trust	[]
Officer	[]	Other	[]
		Please specify:	

Name : _____

Maiden Name : _____

Country of Residence : _____

Country of Domicile : _____

Occupation : _____

Date of Birth : _____

ID/ Passport Number : _____

Nationality : _____

Marital Status : _____

Permanent Address : _____
: _____

Correspondence Address : _____
: _____

Contact Tel No.: (Home) _____ (Work) _____

Mobile Tel No.: _____

Contact Fax No.: (Home) _____ (Work) _____

Email: _____

Please feel free to add any other information you deem relevant:

I confirm that the information supplied to Alliance Trust Co. (Mauritius) Limited is, to the best of my knowledge and belief, accurate in all material respects and does not exclude any information which might reasonably be considered relevant. I undertake to inform Alliance Trust Co. (Mauritius) Limited in case of any changes to the above

Signed:

Initial Due Diligence	Please email or fax following documents with the originals by post.	Tick
Identification	<ul style="list-style-type: none"> ◆ A certified true copy of the relevant passport pages or identity card of each principal. ◆ A certified true copy or original Utility bill and, a Bank or Credit Card statement. Not less than 3 months old. 	
Profile	<i>(Documents to be certified by Lawyer, Notary or Banker)</i> Curriculum Vitae of each principal.	
References	A Bank Reference letter, in original, in favour of each principal which also confirms his/her residential address.	
Business Plan	A detailed Business Plan of the proposed company. Where shareholder is a body Corporate: <ul style="list-style-type: none"> • Certified copy of Certificate of Incorporation and M&A. • Details of Registered Office and Principal place of Business. • Register of Directors and Register of Shareholders. • Recent set of accounts (if available) • Resolution approving Investment/shareholding in the Global Business company in Mauritius. • Any corporate brochures. 	
If Holding Company (i.e. where shares are held by a company)		

Specimen Bank Reference Letter

Bank' Letterhead

EITHER TO:

The Managing Director
Alliance Trust Co. (Mauritius) Limited
22 Flora Park, St. Jean Road, Quatre Bornes
Republic of Mauritius

OR: TO WHOM IT MAY CONCERN

Dear Sir,

Please be advised that has been our customer since, and currently maintains trade facilities and accounts with us. We confirm that the client's residential address is situated at.....

Our experience with their accounts and their management has been very satisfactory. It is our opinion that is creditworthy and whom you may safely conduct business with.

Please note that the information and opinion provided are given in confidence for your private use only and is furnished as a matter of business courtesy on the expressed understanding that no responsibility is assumed on the part of the Bank or any of its officers whether in tort, contract or otherwise.

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Bank Authorised Signatory

List of Banks in Mauritius

	Check		Check
Afrasia Bank Limited *		Investec Bank (Mauritius) Limited	
Barclays Bank PLC *		South East Asian Bank Ltd	
Banque Internationale des Mascareignes Ltée		State Bank of Mauritius Ltd	
Bank of Baroda		SBI International (Mauritius) Ltd.	
Bank One Ltd		Standard Chartered Bank (Mauritius) Limited	
Deutsche Bank (Mauritius) Limited		Standard Bank (Mauritius) Offshore Banking Unit *	
Indian Ocean International Bank Limited		The Hong Kong and Shanghai Banking Corporation Limited*	
Mauritius Post and Cooperative Bank		The Mauritius Commercial Bank *	

* Long established working relationship.